

## PATENT COOPERATION TREATY

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REC'D 20 OCT 2005

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY  
(Chapter II of the Patent Cooperation Treaty)

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(PCT Article 36 and Rule 70)

Applicant's or agent's file reference E 1061.0	FOR FURTHER ACTION See Form PCT/IPEA/416	
International application No. PCT/NO2004/000186	International filing date (day/month/year) 24.06.2004	Priority date (day/month/year) 07.07.2003
International Patent Classification (IPC) or national classification and IPC C01B 033/107		
Applicant Elkem ASA et al		

- This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.
- This REPORT consists of a total of 3 sheets, including this cover sheet.
- This report is also accompanied by ANNEXES, comprising:

- ☐ (sent to the applicant and to the International Bureau) a total of \_\_\_\_\_ sheets, as follows:
  - ☐ sheets of the description, claims and/or drawings which have been amended and are the basis of this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).
  - ☐ sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.

- ☐ (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s)) \_\_\_\_\_, containing a sequence listing and/or tables related thereto, in electronic form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).

- This report contains indications relating to the following items:

- |                                     |              |   |
|-------------------------------------|--------------|---|
| <input checked="" type="checkbox"/> | Box No. I    | Basis of the report   |
| <input type="checkbox"/>            | Box No. II   | Priority  |
| <input type="checkbox"/>            | Box No. III  | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  |
| <input type="checkbox"/>            | Box No. IV   | Lack of unity of invention  |
| <input checked="" type="checkbox"/> | Box No. V    | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| <input type="checkbox"/>            | Box No. VI   | Certain documents cited   |
| <input type="checkbox"/>            | Box No. VII  | Certain defects in the international application  |
| <input type="checkbox"/>            | Box No. VIII | Certain observations on the international application   |

Date of submission of the demand 07.01.2005	Date of completion of this report 07.10.2005
Name and mailing address of the IPEA/SE Patent- och registreringsverket Box 5055 S-102 42 STOCKHOLM	Authorized officer Moa Grönkvist/MP
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## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/NO2004/000186

## Box No. I Basis of the report

1. With regard to the language, this report is based on:



the international application in the language in which it was filed

a translation of the international application into \_\_\_\_\_,  
which is the language of a translation furnished for the purposes of:

international search (Rules 12.3(a) and 23.1(b))



publication of the international application (Rule 12.4(a))



international preliminary examination (Rules 55.2(a) and/or 55.3(a))

2. With regard to the elements of the international application, this report is based on *(replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report)*:

the international application as originally filed/furnished



the description:

pages \_\_\_\_\_

as originally filed/furnished

pages\* \_\_\_\_\_

received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_

received by this Authority on \_\_\_\_\_



the claims:

pages \_\_\_\_\_

as originally filed/furnished

pages\* \_\_\_\_\_

as amended (together with any statement) under Article 19

pages\* \_\_\_\_\_

received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_

received by this Authority on \_\_\_\_\_



the drawings:

pages \_\_\_\_\_

as originally filed/furnished

pages\* \_\_\_\_\_

received by this Authority on \_\_\_\_\_

pages\* \_\_\_\_\_

received by this Authority on \_\_\_\_\_



a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.

3. ☐ The amendments have resulted in the cancellation of:

the description, pages \_\_\_\_\_



the claims, Nos. \_\_\_\_\_



the drawings, sheets/figs \_\_\_\_\_

the sequence listing (*specify*): \_\_\_\_\_any table(s) related to the sequence listing (*specify*): \_\_\_\_\_4. ☐ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

the description, pages \_\_\_\_\_



the claims, Nos. \_\_\_\_\_



the drawings, sheets/figs \_\_\_\_\_

the sequence listing (*specify*): \_\_\_\_\_any table(s) related to the sequence listing (*specify*): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/NO2004/000186

**Box No. V** Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

## 1. Statement

Novelty (N)	Claims	<u>1-6, 9-19</u>	YES
	Claims	<u>7, 8</u>	NO
Inventive step (IS)	Claims	<u>1-6, 12-19</u>	YES
	Claims	<u>7-11</u>	NO
Industrial applicability (IA)	Claims	<u>1-19</u>	YES
	Claims		NO

## 2. Citations and explanations (Rule 70.7)

Cited document:

D1: US4247528

The invention according to claim 7 relates to silicon characterized in that it contains between 30 and 10 000 ppm chromium, the remaining except for normal impurities being silicon.

D1 discloses methods for producing silicon by reducing silica in a high temperature furnace. In table 3 the first silicon produced contains 290 ppm chromium.

Consequently, claims 7 and 8 lacks novelty in view of D1.

The invention according to claims 9-11 differs from the silicon in D1 in the way of mixing the silicon with chromium.

The effect of this difference is not described in the description. According to the description, it is the presence of chromium in the silicon that solves the problem of the invention, not the way of mixing it with silicon. Numerous alternatives of mixing/adding chromium to the silicon are disclosed but no effects and advantages of the specific ways are described. A problem is therefore not solved, and a technical effect is missing. The invention according to claims 9-11 is consequently considered to lack an inventive step.